

# Electron-nucleus collisions at small $x$

EINN 2009, Milos, Greece

## Gluon saturation

- Experimental hints
- Gluon evolution
- Saturation domain
- Multiple scatterings
- Color Glass Condensate

## DIS

- Inclusive DIS
- Exclusive processes

## pA collisions

- Link to the dipole cs
- Forward suppression

## AA collisions

- Stages of AA collisions
- Hydro initial conditions

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François Gelis  
CEA, IPhT

① Gluon saturation at small  $x$

② Deep Inelastic Scattering

③ Proton-Nucleus collisions

④ Nucleus-Nucleus collisions



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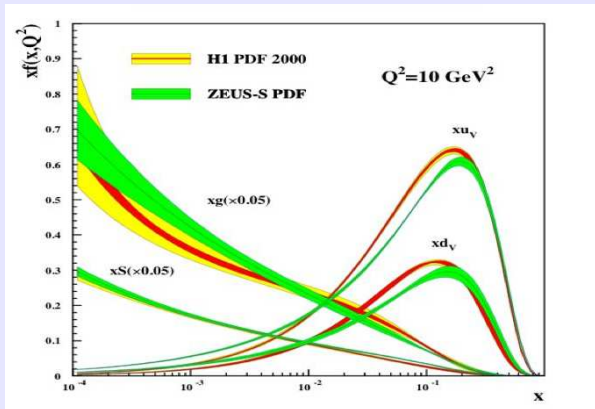
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# Growth of the gluon distribution at small $x$

## Gluon distribution at small $x$



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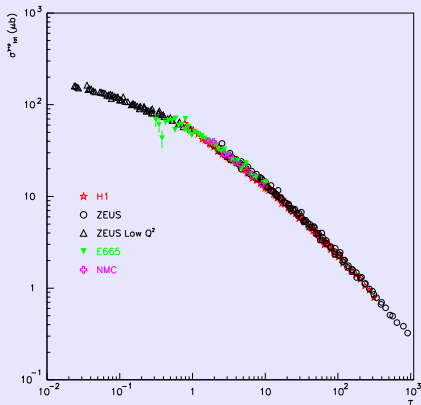
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## Geometrical scaling

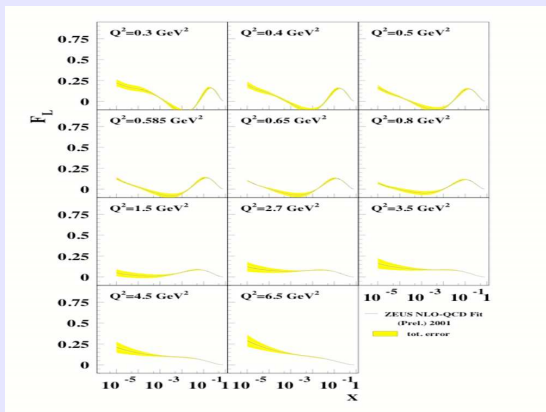


Note: geometrical scaling has also been observed for DIS on nuclear targets (E665 and NMC)

# Some trouble with $F_L$ at small $Q^2$



## $F_L$ from DIS fits



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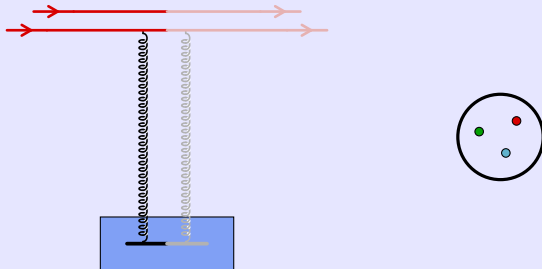
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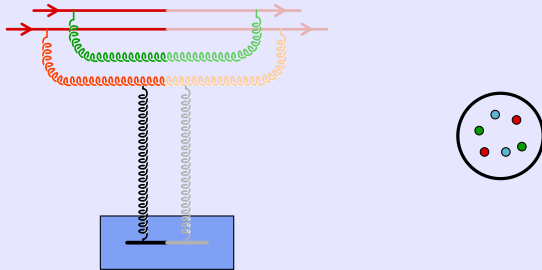
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- assume that the projectile is big, e.g. a nucleus, and has many valence quarks (only two are represented)
- on the contrary, consider a small probe, with few partons
- at low energy, only valence quarks are present in the hadron wave function



- when energy increases, new partons are emitted
- the emission probability is  $\alpha_s \int \frac{dx}{x} \sim \alpha_s \ln\left(\frac{1}{x}\right)$ , with  $x$  the longitudinal momentum fraction of the gluon
- at small- $x$  (i.e. high energy), these logs need to be resummed

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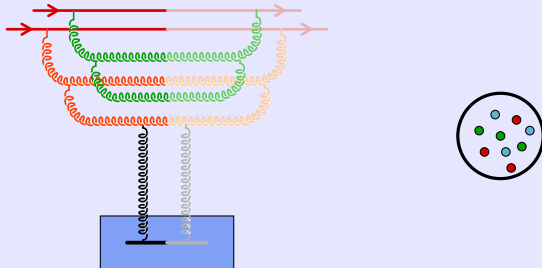
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- as long as the density of constituents remains small, the evolution is **linear**: the number of partons produced at a given step is proportional to the number of partons at the previous step (BFKL)

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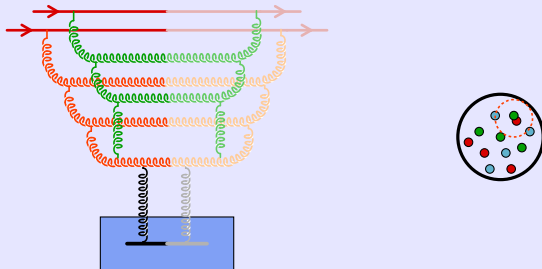
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- eventually, the partons start overlapping in phase-space
- **parton recombination** becomes favorable
- after this point, the evolution is **non-linear**:  
the number of partons created at a given step depends non-linearly on the number of partons present previously

# Criterion for gluon recombination

Gribov, Levin, Ryskin (1983)

Number of gluons per unit area :

$$\rho \sim \frac{xG_A(x, Q^2)}{\pi R_A^2}$$

Recombination cross-section :

$$\sigma_{gg \rightarrow g} \sim \frac{\alpha_s}{Q^2}$$

Recombination happens if  $\rho\sigma_{gg \rightarrow g} \gtrsim 1$ , i.e.  $Q^2 \lesssim Q_s^2$ , with :

$$Q_s^2 \sim \frac{\alpha_s xG_A(x, Q_s^2)}{\pi R_A^2} \sim A^{1/3} \frac{1}{x^{0.3}}$$

Note: At a given energy, the saturation scale is larger for a nucleus (for  $A = 200$ ,  $A^{1/3} \approx 6$ )

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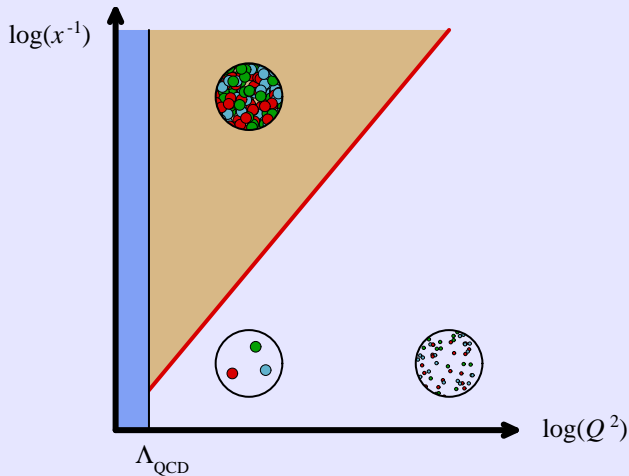
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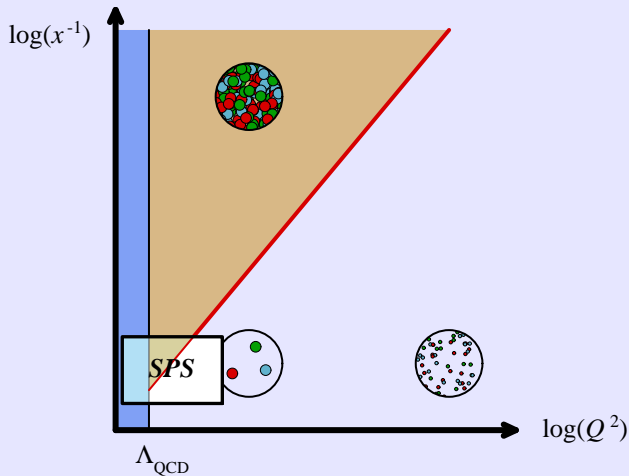
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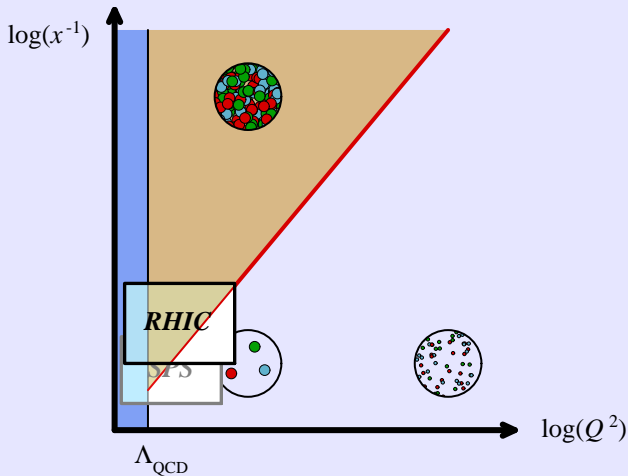
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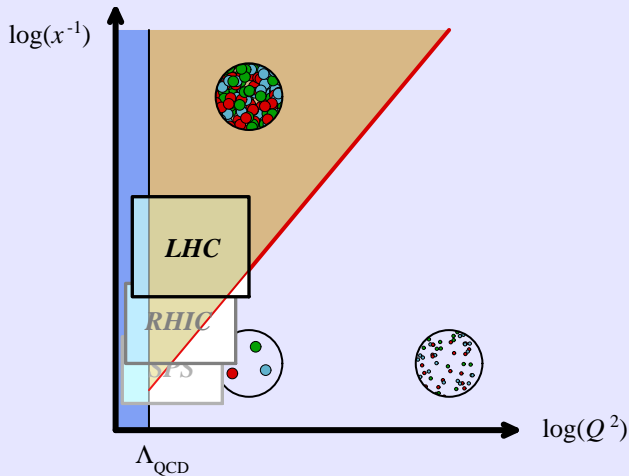
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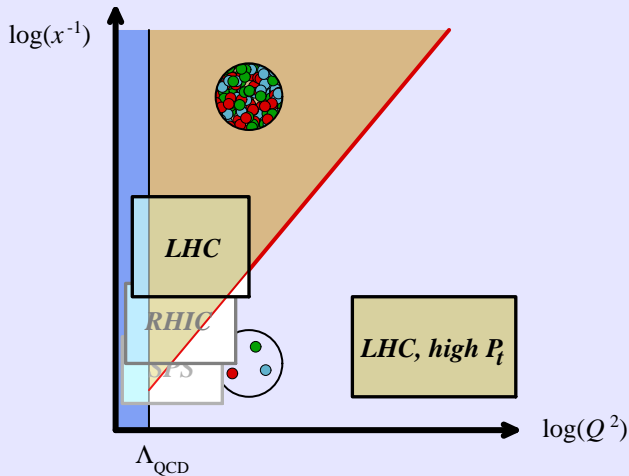
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## Multiple scatterings

- The saturation criterion can also be seen as a condition for multiple scatterings
- The mean free path of a gluon in a nucleus is

$$\lambda = \frac{1}{n\sigma_{gg \rightarrow g}}, \quad n \sim \frac{xG_A(x, Q^2)}{\frac{4}{3}\pi R_A^3}$$

- Multiple scatterings are important if  $\lambda$  becomes smaller than the size of the nucleus,  $\lambda \lesssim R_A$ , i.e.

$$Q^2 \lesssim \alpha_s \frac{xG_A(x, Q^2)}{\pi R_A^2} \sim Q_s^2$$

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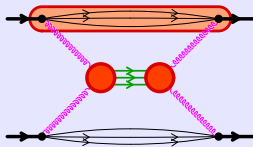
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## Single scattering :



▷ 2-point function in the projectile ▷ gluon number

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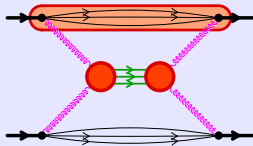
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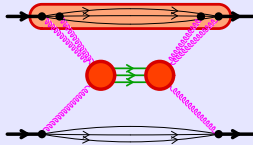
# Multiple scatterings

## Single scattering :



▷ 2-point function in the projectile ▷ gluon number

## Double scattering :



▷ 4-point function in the projectile ▷ higher correlations

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- Power counting :

$$\frac{2 \text{ scatterings}}{1 \text{ scattering}} \sim \frac{Q_s^2}{M_\perp^2} \quad \text{with} \quad Q_s^2 \sim \alpha_s \frac{xG(x, Q_s^2)}{\pi R^2}$$

- When this ratio becomes  $\sim 1$ , all the rescattering corrections become important
  - ▷ one must resum all  $[Q_s/M_\perp]^n$
- These effects are not accounted for in DGLAP or BFKL

## CGC: Degrees of freedom

CGC = effective theory of small  $x$  gluons

- The fast partons (large  $x$ ) are frozen by time dilation
  - ▷ described as **static color sources** on the light-cone :

$$J^\mu = \delta^{\mu+} \delta(x^-) \rho(\vec{x}_\perp) \quad (x^- \equiv (t - z)/\sqrt{2})$$

- Slow partons (small  $x$ ) cannot be considered static over the time-scales of the collision process
  - ▷ they must be treated as standard gauge fields

Eikonal coupling to the current  $J^\mu$  :  $A_\mu J^\mu$

- The color sources  $\rho$  are **random**, and described by a **distribution functional**  $W_Y[\rho]$ , with  $Y$  the rapidity that separates “soft” and “hard”

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## Evolution equation (JIMWLK) :

$$\frac{\partial W_Y}{\partial Y} = \mathcal{H} W_Y$$
$$\mathcal{H} = \frac{1}{2} \int_{\vec{x}_\perp, \vec{y}_\perp} \frac{\delta}{\delta \tilde{\mathcal{A}}^+(\epsilon, \vec{y}_\perp)} \eta(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \tilde{\mathcal{A}}^+(\epsilon, \vec{x}_\perp)}$$

where  $-\partial_\perp^2 \tilde{\mathcal{A}}^+(\epsilon, \vec{x}_\perp) = \rho(\epsilon, \vec{x}_\perp)$

- $\eta(\vec{x}_\perp, \vec{y}_\perp)$  is a non-linear functional of  $\rho$
- This evolution equation resums all the powers of  $\alpha_s \ln(1/x)$  and of  $Q_s/\rho_\perp$  that arise in loop corrections
- This equation simplifies into the BFKL equation when the source  $\rho$  is small (one can expand  $\eta$  in powers of  $\rho$ )

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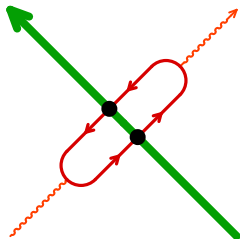
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- Reactions involving a hadron or nucleus and an “elementary” projectile are fairly straightforward to study
- The archetype is the **forward DIS amplitude** :



$$\langle T(\vec{x}_\perp, \vec{y}_\perp) \rangle = \int [D\rho] W_Y[\rho] \left[ 1 - \frac{1}{N_c} \text{tr}(U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp)) \right]$$

▷ this formula resums all the  $[\alpha_s \ln(1/x)]^m [Q_s/p_\perp]^n$  for the inclusive DIS cross-section

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## Inclusive DIS cross-section in the CGC framework

$$\sigma_{\gamma^* T} = \int_0^1 dz \int d^2 \vec{r}_\perp |\psi(\mathbf{q}|z, \vec{r}_\perp)|^2 \sigma_{\text{dipole}}(\mathbf{x}, \vec{r}_\perp)$$

## Dipole cross-section

$$\begin{aligned} \sigma_{\text{dipole}}(\mathbf{x}, \vec{r}_\perp) &\equiv \frac{2}{N_c} \int d^2 \vec{X}_\perp \int [D\rho] W_x[\rho] \\ &\times \text{Tr} \left[ 1 - \underbrace{U(\vec{X}_\perp + \frac{\vec{r}_\perp}{2}) U^\dagger(\vec{X}_\perp - \frac{\vec{r}_\perp}{2})}_{\text{Wilson lines}} \right] \end{aligned}$$

- $|\psi|^2$  is calculable perturbatively in QED
- The distribution  $W_x[\rho]$  obeys the JIMWLK equation
- Geometrical scaling:  $\sigma_{\text{dipole}}(\mathbf{x}, r_\perp) \xrightarrow{x \rightarrow 0} f(Q_s(\mathbf{x}) r_\perp)$

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Kowalski, Motyka, Watt (2006)

- Inclusive DIS involves only the forward dipole amplitude
- To study more exclusive processes, one needs non-forward amplitudes. They read :

$$\begin{aligned} \langle \Omega_{\text{out}} | \gamma_{\text{in}}^* \rangle &= \\ &= \int d^2 \vec{r}_{\perp} \int_0^1 dz \psi_{\Omega}^* \psi \underbrace{\int d^2 \vec{b} e^{i \vec{q}_{\perp} \cdot \vec{b}} \langle \mathcal{T}(\vec{b} - \frac{\vec{r}_{\perp}}{2}, \vec{b} + \frac{\vec{r}_{\perp}}{2}) \rangle}_{\text{non-forward dipole cross-section with momentum transfer } \vec{q}_{\perp}} \end{aligned}$$

Note : this formula assumes that the relevant dipole sizes  $r_{\perp}$  are small compared to the target radius (i.e. the typical  $\mathbf{b}$ )

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## Exclusive processes

- By squaring this amplitude, one gets the diffractive cross-section for the production of the state  $\Omega$  with momentum transfer  $\mathbf{q}_\perp$

$$\frac{d\sigma_{\gamma^* p \rightarrow \Omega p}^{\text{diff}}}{d^2\vec{q}_\perp} = |\langle \Omega_{\text{out}} | \gamma^*_{\text{in}} \rangle|^2$$

- The relationship to the inclusive DIS cross-section is

$$\sigma_{\gamma^* p}^{\text{tot}}(Y, Q^2) = 2 \text{Re} \langle \gamma^*_{\text{out}} | \gamma^*_{\text{in}} \rangle_{\vec{q}_\perp=0}$$

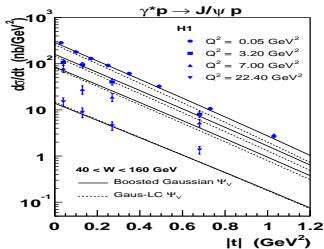
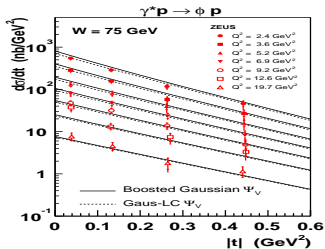
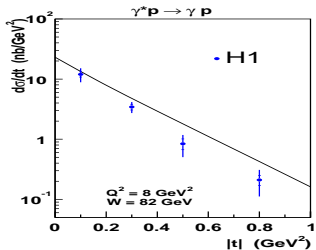
Note : inclusive DIS only constrains the dipole amplitude averaged over impact parameter

By measuring the  $\mathbf{q}_\perp$  dependence in exclusive reactions, one obtains informations about the  $\mathbf{b}$  dependence of the dipole amplitude



## Exclusive reactions

- Exclusive photon and vector meson production :



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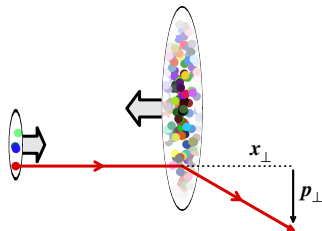
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## Link to the dipole cross-section

- When the proton is dilute, a pA collision can be seen as a collision between one parton from the proton and the color fields of the nucleus :



- In a given configuration of the target, the scattering amplitude reads :

$$\mathcal{M} \propto \int d^2 \vec{x}_\perp e^{i\vec{p}_\perp \cdot \vec{x}_\perp} U(\vec{x}_\perp)$$

Note :  $U$  is in the representation of the incoming parton

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- The squared amplitude, averaged over the target configurations, reads :

$$\begin{aligned}
 |\mathcal{M}|^2 &\propto \int d^2\vec{r}_\perp e^{i\vec{p}_\perp \cdot \vec{r}_\perp} \int d^2\vec{b} \left\langle U(\vec{b} + \frac{\vec{r}_\perp}{2}) U^\dagger(\vec{b} - \frac{\vec{r}_\perp}{2}) \right\rangle_Y \\
 &\propto \int d^2\vec{r}_\perp e^{i\vec{p}_\perp \cdot \vec{r}_\perp} \sigma_{\text{dip}}(\vec{r}_\perp, Y)
 \end{aligned}$$

▷ the  $p_\perp$ -spectrum of the scattered parton is given by the Fourier transform of the dipole cross-section

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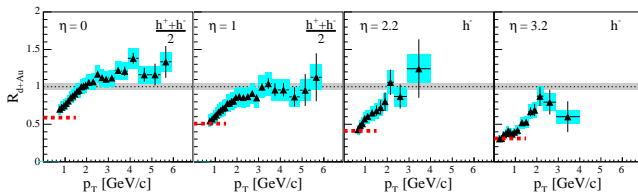
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- Results of the BRAHMS experiment at RHIC for deuteron-gold collisions :

$$R_{dAu} \equiv \frac{1}{N_{\text{coll}}} \frac{\frac{dN}{dp_{\perp} d\eta} \Big|_{dAu}}{\frac{dN}{dp_{\perp} d\eta} \Big|_{pp}}$$



- At small rapidity, suppression at low  $p_{\perp}$  and enhancement at high  $p_{\perp}$  (multiple scatterings – Cronin effect)
- At large rapidity, suppression at all  $p_{\perp}$ 's (shadowing)

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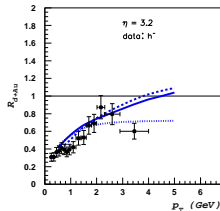
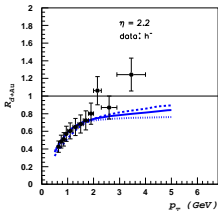
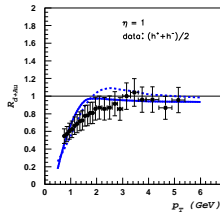
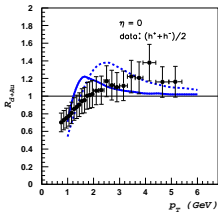
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- Kharzeev, Kovchegov, Tuchin (2005)



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Hydro initial conditions

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# Stages of a nucleus-nucleus collision

## Gluon saturation

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Color Glass Condensate

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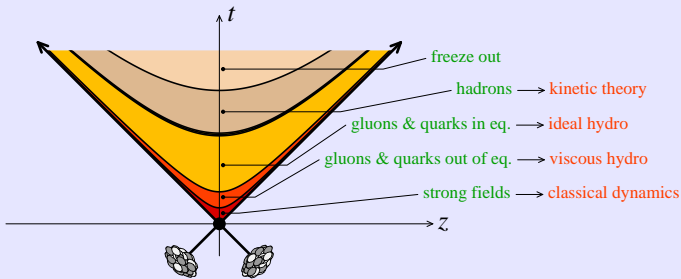
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- The Color Glass Condensate provides a framework to describe nucleus-nucleus collisions up to a time  $\tau \sim Q_s^{-1}$

# Reminder on hydrodynamics

## Equations of hydrodynamics :

$$\partial_\mu T^{\mu\nu} = 0$$

$$\partial_\mu J_B^\mu = 0$$

## Additional inputs :

$$p = f(\epsilon) \quad , \quad \eta, \zeta, \dots$$

- Required initial conditions :

$$T^{\mu\nu}(\tau = \tau_0, \eta, \vec{\mathbf{x}}_\perp), J_B^\mu(\tau = \tau_0, \eta, \vec{\mathbf{x}}_\perp)$$

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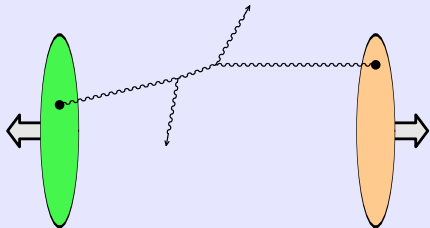
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# Initial conditions from CGC: power counting

$$\mathcal{L} = -\frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu} + (J_1^\mu + J_2^\mu) A_\mu$$



- **Dilute regime** : one parton in each projectile interact

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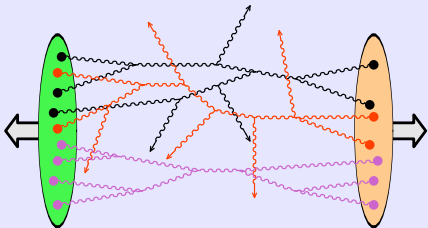
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## Initial conditions from CGC: power counting

$$\mathcal{L} = -\frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu} + (J_1^\mu + J_2^\mu) A_\mu$$



- **Dilute regime** : one parton in each projectile interact
- **Saturated regime** : **multiparton processes** become crucial  
(+ pileup of many partonic scatterings in each AA collision)

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- In the saturation regime,  $\rho_{1,2} \sim g^{-1}$ , and we have the following expansion for  $T^{\mu\nu}$ :

$$T^{\mu\nu} = \frac{Q_s^4}{g^2} \left[ c_0 + c_1 g^2 + c_2 g^4 + \dots \right]$$

- The Leading Order contribution is given by **classical fields**:

$$T_{\text{LO}}^{\mu\nu} \equiv c_0 \frac{Q_s^4}{g^2} = \frac{1}{4} g^{\mu\nu} \mathcal{F}^{\lambda\sigma} \mathcal{F}_{\lambda\sigma} - \mathcal{F}^{\mu\lambda} \mathcal{F}^{\nu}_{\lambda}$$

with  $\underbrace{[D_\mu, \mathcal{F}^{\mu\nu}]}_{\text{Yang-Mills equation}} = \mathcal{J}^\nu$ ,  $\lim_{t \rightarrow -\infty} A^\mu(t, \vec{x}) = 0$

## Initial conditions from CGC: Leading Log resummation

- The previous power counting implicitly assumes that the coefficients  $c_n$  are numbers of order one. However, they contain (possibly large) logarithms of  $1/x_{1,2}$  :

$$\begin{aligned}
 c_1 &= d_{10} + d_{11} \ln\left(\frac{1}{x_{1,2}}\right) \\
 c_2 &= d_{20} + d_{21} \ln\left(\frac{1}{x_{1,2}}\right) + \underbrace{d_{22} \ln^2\left(\frac{1}{x_{1,2}}\right)}_{\text{Leading Log terms}}
 \end{aligned}$$

$$\langle T^{\mu\nu}(\tau, \eta, \vec{x}_\perp) \rangle_{\text{LLog}} = \int [D\rho_1 D\rho_2] W_{Y_1}[\rho_1] W_{Y_2}[\rho_2] \underbrace{T_{\text{LO}}^{\mu\nu}(\tau, \vec{x}_\perp)}_{\text{for fixed } \rho_{1,2}}$$

with  $\partial_Y W = \mathcal{H}W$ ,  $Y_1 = Y_{\text{beam}} - \eta$ ,  $Y_2 = Y_{\text{beam}} + \eta$

(FG, Lappi, Venugopalan (2008))

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# Why factorization works: causality

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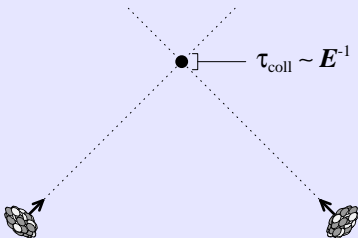
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- The duration of the collision is very short:  $\tau_{\text{coll}} \sim E^{-1}$

## Why factorization works: causality

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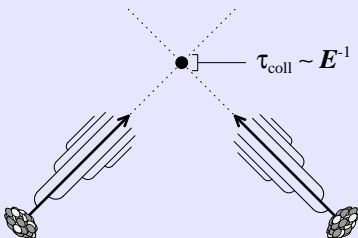
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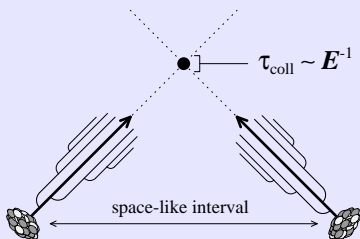
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- The duration of the collision is very short:  $\tau_{\text{coll}} \sim E^{-1}$
- The logarithms we want to resum arise from the radiation of soft gluons, which takes a long time
  - ▷ it must happen (long) before the collision

## Why factorization works: causality



- The duration of the collision is very short:  $\tau_{\text{coll}} \sim E^{-1}$
- The logarithms we want to resum arise from the radiation of soft gluons, which takes a long time
  - ▷ it must happen (long) before the collision
- The projectiles are not in causal contact before the impact
  - ▷ the logarithms are intrinsic properties of the projectiles, independent of the measured observable



- At a given energy, gluon saturation is enhanced in nuclei
- It plays an important role in the description of the initial stages of nucleus-nucleus collisions
- There are factorization results that relate DIS and AA collisions in the saturated regime
- The  $p_{\perp}$ -dependence of single inclusive spectra in p(d)-A collisions gives the dipole size dependence of the dipole cross-section
- The  $t$ -dependence of exclusive processes gives its impact parameter dependence

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